



bulletin



Contact:
Tamara Brooks
Enforcement Council
(416) 943-5891
tbrooks@ida.ca

For distribution to relevant parties within your firm

BULLETIN #3657
August 13, 2007

Discipline

Discipline proceedings ended in the matter of Ian Alexander Frew

The IDA has withdrawn the Notice of Hearing and ended discipline proceedings in the matter of Ian Alexander Frew, at all material times an Approved Person with Matrix Financial Corporation a Member of the IDA.

The Notice of Hearing has been withdrawn in response to a decision of the Court of Appeal for Saskatchewan dated June 20, 2007, dismissing the appeals of the IDA from a decision of the Saskatchewan Financial Services Commission in the related matters of Wade Douglas MacBain and Karl Edward Neufeld and allowing the appeal of Frederick Henry Smith.

The matters of MacBain, Neufeld and Smith have been stayed by a Hearing Panel appointed pursuant to IDA By-law 20 (refer to IDA Bulletin #3656).

A copy of the decision of the Court of Appeal for Saskatchewan can be found on their website at the following link:

<http://www.lawsociety.sk.ca/judgments/2007/CA2007/2007skca70.pdf>

Kenneth A. Nason
Association Secretary