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For distribution to relevant parties within your firm
BULLETIN #3639
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Educational Web Cast for Branch Managers & Advisors

Dealing with Vulnerable Clients

Dealing with vulnerable clients can be difficult and complex. What should an advisor do when faced with a situation that involves a vulnerable client and an activity which is potentially detrimental to the protection of their assets?

The next Member Services web cast on “Dealing with Vulnerable Clients” has been designed to assist advisors in addressing this client segment. We will also discuss how to deal with substitute decision makers, an advisor’s obligations and best practices.

- Arthur Fish will be joining us as a subject matter expert on vulnerable clients. Arthur Fish is a Partner and Director with Borden Ladner Gervais law firm in Toronto.
- Arthur is a designated Registered Trust and Estate Practitioner, and has served on the Board of Governors of Baycrest Centre for Geriatric Care, is Honourary Counsel for the Canadian Parkinsons Foundation and General Counsel for the Schizophrenia Society of Ontario.
- Arthur is a well known speaker and has been widely published on the topic of vulnerable clients and securities law.

Dealing with Vulnerable Clients web cast will take place on July 10th, 2007 at 4pm EDT in English and July 11th, 2007 at 4pm EDT in French. For those experiencing a scheduling conflict a replay of the web cast will be made available. Each part of the web cast will be 45 minutes in length and participants will be eligible for one hour of Continuing Education credit (CE Credit) which can be applied against fulfilling their compliance requirement.

To keep informed of upcoming Member Services events, simply subscribe to the IDA’s e-publications service at www.ida.ca and select the following categories: “Adviser Educational Web Casts” and “Events – Educational Events and Seminars.” E-publications is a free, self-managed system, whereby subscribers can change their selection criteria whenever and as many times as they want, making it a quick and easy way to receive information.

Additional information about the program as well as the Registration forms are available at http://www.ida.ca/Media/Events_en.asp

Kenneth A. Nason
Association Secretary