



INVESTMENT DEALERS
ASSOCIATION OF CANADA

bulletin



ASSOCIATION CANADIENNE DES
COURTIERS EN VALEURS MOBILIÈRES

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For distribution to relevant parties within your firm

BULLETIN #3356
November 16, 2004

By-laws and Regulations Policy No. 11 Research Restrictions and Disclosure Requirements

The Board of Directors of the Association has approved amendments to Policy No. 11, Requirement 17 in order to reflect the change in name of the Association for Investment Management and Research (AIMR) to the Chartered Financial Analyst Institute (CFA Institute). The amendment is effective immediately.

A copy of the amendment is attached for reference.

Kenneth A. Nason
Association Secretary

**INVESTMENT DEALERS ASSOCIATION OF CANADA -
HOUSEKEEPING AMENDMENT TO POLICY NO. 11**

THE BOARD OF DIRECTORS of the Investment Dealers Association of Canada hereby amends the By-laws, Regulations, Forms and Policies of the Association by amending Policy No. 11, Requirement 17 as follows:

The words “AIMR Code of Ethics and Standards of Professional Conduct whether they are members of AIMR or not” are replaced with “CFA Institute Code of Ethics and Standards of Professional Conduct whether they are members of the CFA Institute or not.”

PASSED AND ENACTED by the Board of Directors, this 20th day of October 2004, to be effective on a date to be determined by Association staff.