



INVESTMENT DEALERS  
ASSOCIATION OF CANADA

# bulletin



ASSOCIATION CANADIENNE DES  
COURTIERS EN VALEURS MOBILIÈRES

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*For distribution to relevant parties within your firm*

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## **Industry Relations and Representation Guidelines – What to Consider When Choosing a Carrying Broker**

The IDA's new guidelines, *What to Consider When Choosing a Carry Broker*, (the Guidelines) are now available on the IDA's website at [www.ida.ca](http://www.ida.ca) under "Resources for Members", "Introducing/Carrying Relationships"

In anticipation of the Regional Dealers Conference, held on 29 October in Toronto, and, as a service to existing and potential Member firms, the Association struck a working group comprised of carrier Members and introducing Members to develop the Guidelines. The Guidelines are intended to provide information for either prospective or existing Members to consider when selecting a carrying broker.

While the Guidelines were developed as a business tool to assist those firms considering a carrying broker or those firms that may be considering changing their current carrying broker, Member firms and prospective Members should refer as well to IDA By-Law 35, Bulletin #2883 and Member Regulation Notice 096 for the Association's requirements with respect to introducing/carrying relationships.

Kenneth A. Nason  
*Association Secretary*