



INVESTMENT DEALERS  
ASSOCIATION OF CANADA

# bulletin



ASSOCIATION CANADIENNE DES  
COURTIERS EN VALEURS MOBILIÈRES

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**BULLETIN #3350**  
November 8, 2004

## Discipline

### Settlement Agreement between Association Staff and John Frederick Brighten rejected

Nature of Proceeding      On August 24, 2004, the Pacific District Council of the Investment Dealers Association of Canada (the “Association”) considered, reviewed and rejected a Settlement Agreement negotiated between John Frederick Brighten and Association Staff. On September 22, 2004, the Pacific District Council provided written reasons for rejecting the Settlement Agreement.

Due to the without prejudice nature of all negotiations of a Settlement Agreement, which is currently set out in Association By-law 20.35(4) and was prior to October 1, 2004 set out in By-law 20.28, neither details of the Settlement Agreement or the reasons will be made public.

Hearing and review by BCSC      Notice has been sent to the British Columbia Securities Commission requesting a hearing and review of this matter.

Kenneth A. Nason  
*Association Secretary*

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