

Linkage (Cross-References)

>By-laws (3)

By-law No. 4 — Branch Office Members, Branch Offices and Sub-branch Offices

By-law No. 18 — Registered Representatives and Investment Representatives

By-law No. 40 — Individual Approvals, Notifications and Fees and the National Registration Database

>Regulations (2)

Regulation 1800 — Commodity Futures Contracts and Options

Regulation 1900 — Options

>Policies (1)

Policy No. 8 — Reporting and Recordkeeping Requirements