



INVESTMENT DEALERS
ASSOCIATION OF CANADA

bulletin



ASSOCIATION CANADIENNE DES
COURTIERS EN VALEURS MOBILIÈRES

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For distribution to relevant parties within your firm

BULLETIN #3303

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Discipline

Discipline Penalties Imposed on Donnelle John Loryn Loewen - Violations of By-law 19.5

Person Subject of Order The Manitoba District Council of the Investment Dealers Association of Canada (the "Association") has made the following order in respect of Donnelle John Loryn Loewen (the "Respondent"), at all material times a Registered Representative with the Winnipeg branch office of CFG Financial Group Inc.

By-laws, Regulations, Policies Violated, And Order Made On Wednesday, April 28, 2004, after hearing the submissions of counsel for the Association the Manitoba District Council made a finding that the Respondent breached Association By-law 19.5, by refusing and/or failing to attend and give information in respect to an investigation being conducted by the Association's Enforcement Department, as alleged in the Notice of Hearing dated March 26, 2004. The Manitoba District Council imposed the following penalties:

1. Imposition of a fine in the amount of \$50,000.00
2. A permanent prohibition of registration in any capacity with the Association.
3. Costs were awarded in the amount of \$6,020.00.

Kenneth A. Nason
Association Secretary