



INVESTMENT DEALERS
ASSOCIATION OF CANADA

bulletin



ASSOCIATION CANADIENNE DES
COURTIERS EN VALEURS MOBILIÈRES

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For distribution to relevant parties within your firm

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Public Affairs

Canada's 2nd Annual SRO Conference, January 29, 2004: Current Issues in SRO Compliance

On January 29, 2004, Canada's Self-Regulatory Organizations will again host a one-day conference for securities industry participants at the Holiday Inn on King in Toronto, Ontario.

The Speakers Program includes panel discussions on up-to-the-minute issues such as Defining the Public Interest and Privacy Legislation: Impact on SROs and Firms. A series of concurrent sessions led by SRO staff will provide detailed, practical and relevant information on common issues faced by new and experienced industry participants.

Delegates can choose three of four concurrent sessions, focusing on Enforcement, Compliance and Policy, as well as dedicated workshops offered by the IDA, the MFDA, the Montréal Exchange and RS Inc. to help firms comply with new and continuing regulatory requirements.

Registration for the SRO Conference is \$280 plus tax, and is available online at each sponsoring SRO's website.

Kenneth A. Nason
Association Secretary