

APPENDIX "A" – DEALERS

(To be completed by all non-Alberta dealer firms, *foreign or domestic*)

FORM OF SUBMISSION TO JURISDICTION AND APPOINTMENT OF AGENT FOR SERVICE OF PROCESS BY EXTRA-PROVINCIAL DEALER

1. Name of applicant or registrant (the "Registrant"): _____
2. Jurisdiction of incorporation of Registrant: _____
3. Name of agent for service of process (the "Agent"): _____
4. Address for service of process of Agent in Alberta:

5. The Registrant designates and appoints the agent at the address of the Agent stated above as its agent upon whom may be served any notice, pleading, subpoena, summons or other process in any action, investigation or administrative, civil, criminal, quasi-criminal or other proceeding (the "Proceeding") arising out of or relating to or concerning its registration under the *Alberta Securities Act*, S.A. 1981, c. S-6.1 as amended (the "Act") or its activities in Alberta as a registrant and irrevocably waives any right to raise as a defense in any such Proceeding any alleged lack of jurisdiction to bring such Proceeding.
6. The Registrant irrevocably and unconditionally submits to the non-exclusive jurisdiction of the judicial, quasi-judicial and administrative tribunals and the civil and criminal courts of Alberta and any administrative proceeding in Alberta, in relation to any Proceeding arising out of or related to or concerning its registration under the Act or its activities in Alberta as a registrant.
7. Until six years after the termination of its registration under the Act, the Registrant shall file:
 - a. a new submission to Jurisdiction and Appointment of Agent for Service of Process in the form hereof at least 30 days before termination of this Submission to Jurisdiction and Appointment of Agent for Service of Process for any reason; and
 - b. an amended submission to Jurisdiction and Appointment of Agent for Service of Process at least 30 days prior to any change in the name or above address of the Agent.
8. This Submission to Jurisdiction and Appointment of Agent for Service of Process shall be governed by and construed in accordance with the laws of Alberta.

Dated: _____

(Signature of Registrant's Authorized signatory)

(Name and title of authorized signatory)

ACCEPTANCE OF APPOINTMENT AS AGENT

The undersigned accepts the appointment as agent for service of process of
_____ (Insert name of Registrant) pursuant to the
terms and conditions of the foregoing Submission to Jurisdiction and Appointment of Agent for
Service of Process.

Dated: _____

(Signature of Agent or authorized signatory)

(Name and title of authorized signatory)