



Access the Wealth Management Platform of the Future, today!

The Ndex Guarantee

Ndex guarantees you immediate cost savings and increased revenue by providing a fully integrated wealth management platform enabling one-to-one intelligent customer service.

Invest today in the wealth management platform of the future. Rather than bolting together various technologies to support multiple business units, Ndex provides an intelligent, consolidated single platform for your entire business today and your new pursuits of tomorrow.

Immediate cost savings - Ndex cuts your costs by providing intelligent trade routing, automated compliance, electronic account opening and bulk trading features that will immediately reduce back office expenses and improve operational efficiency

Increased revenue through one-to-one service – Using Ndex’s profiling capabilities to align the client’s KYC objectives with his investing bias, organizations can provide one-to-one service to **all** clients, driving more activity through client accounts.

AIMR compliant business tools – Ndex AIMR compliant portfolio management tools support retail brokerage, asset management and mutual fund dealerships to create a single platform for both IDA and MFDA business.

What do you get with Ndex?

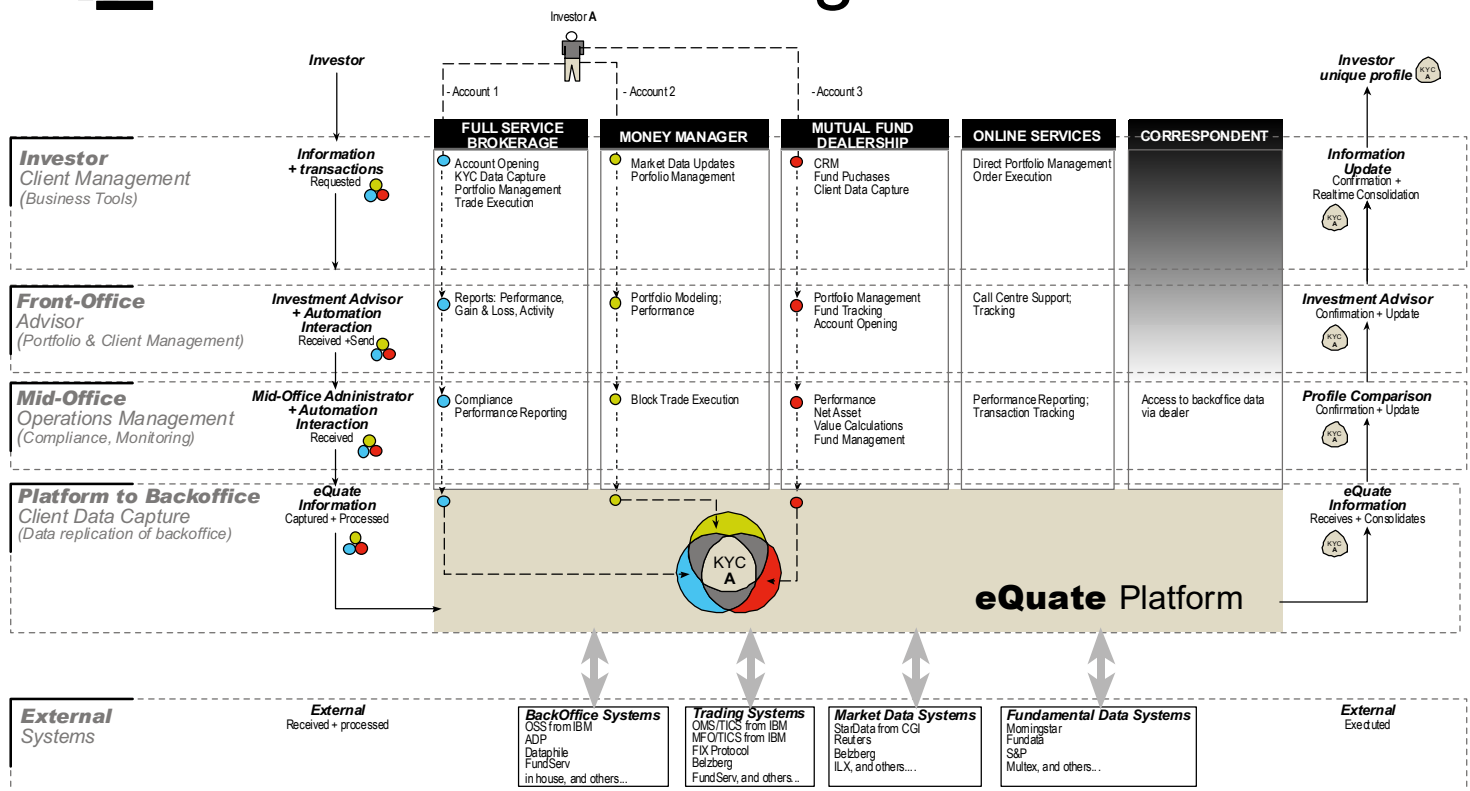
The platform provides a vast array of customizable features that can be configured at the flip of a switch. These features are designed to automate daily tasks, providing **immediate costs savings** to your business.

- Ndex is “first to market” with a truly web-based, integrated front-to-back-office system.
- Ndex has created the only profile-based wealth management platform on the market which tracks and capitalizes on investment behavior using patented True Customer Profiling (eTCP) principles.
- The Ndex application is fully scalable and adapts to any existing back office system. Get your products and services to market now at a fraction of the cost of a proprietary system.
- **AIMR Compliant Portfolio Management for Retail Advisors and Asset Managers:** Consolidated views of client accounts, including performance measurement, custom reporting and access to historical data with multiple query capabilities and user-views.
- **CRM Capabilities:** Full array of features, including household consolidation, customized client lists, quick searches and batch client reporting in PDF format for easy updates to clients.
- **On-line Account Opening:** Clients and advisors can fill out all necessary data directly within the application. Questionnaires are customizable based on your needs. Electronic workflow management reinforces compliance.
- **Automated Compliance:** On-line KYC processing allows you to store and track an investor’s investment objectives, highlighting discrepancies from stated objectives at the account level making corrective action easier to track and enforce a firm’s recommended models.
- **Fee Management:** Fully flexible commission and fee management module, capable of working across accounts to produce accurate fee reporting.



- **Corporate Actions:** A single entry from your operations department will adjust book value of the selected security for all positions held within your institution.
- **Securities Management:** Ability to categorize securities by sector, industry, credit rating, risk and your custom set of parameters.
- **Trade Order Management:** Ability to process bulk trades and rebalance a large number of portfolios on both sell and buy-side as per investment models.
- **Management Reporting:** Reports for the advisor, branch manager and head office are available for revenue, compliance, trading activity and asset allocation monitoring.

eQuate Wealth Management Solution



For More Information Visit Our Website at: www.ndexsystems.com

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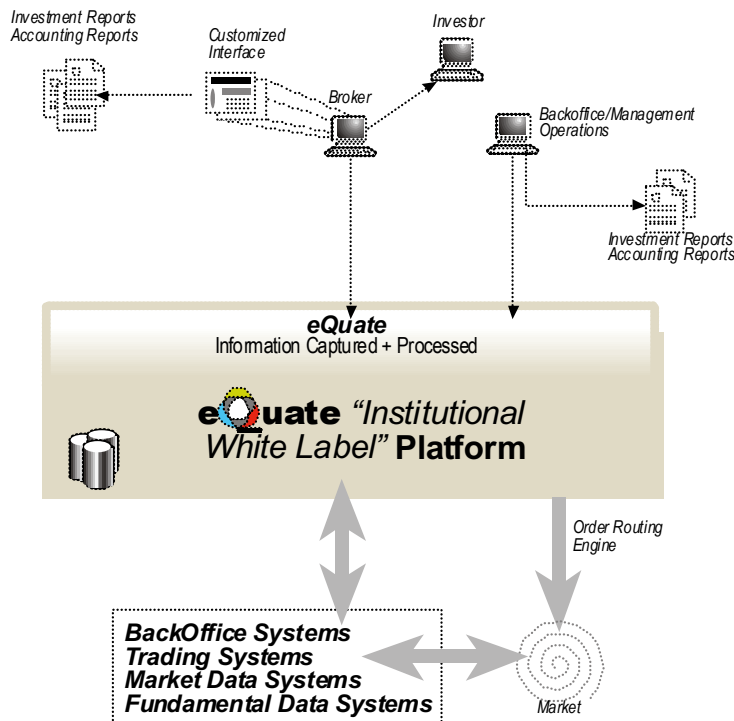


Full Service Brokerage

How does an institution deliver a full range of wealth management products to a better educated consumer while at the same time dealing with the complexities of keeping operational costs down and meeting the demands of compliance?

Ndex's product eQuate for full service brokerage provides an answer to that question by delivering a platform that decreases costs and increases revenues.

Ndex brings the ultimate wealth management tool to the full service industry. Integrated portfolio management, compliance monitoring and reporting, are all available in one easy to use Web application with seamless integration to your back office. This product suite will satisfy your need to lower costs by automating a multitude of functions. At the same time Ndex guarantees its solution will help you increase your revenue over time due to its patent pending investor profile engine, eTCP, which enables the matching of products to customer needs. No one else in the industry can make this claim. Ndex delivers a state of the art, full service platform capable of adapting to the changing needs of the brokerage industry.



eQuate for Full Service Brokerage is a white label product that allows all users to have access to critical investor information to process daily business tasks. It interfaces with many external systems to capture, process and maintain investor behavior through API's and XML.



Product Highlights

- On-line Account Opening
- Integrated Trade Processing
- Automated KYC Compliance
- CRM
- Corporate Actions
- Advanced Portfolio Management
- Seamless integration to back office systems
- Household Reconciliation
- Flat Fee Management
- Performance Rate of Return



FEATURES	Portfolio & Client Management	Operations	Compliance
Account Opening and KYC Profiling (straight-through processing)	Allows the online capture of client and new account data, fulfilling KYC requirements.	Tracks workflow throughout the account opening process, as well as tracking documentation and assignment of account numbers.	Authorizes client credit, and verifies compliance and conformity to investment objectives.
Investment Guide	Monitors discrepancies between the recommended asset allocation and the actual portfolios. Triggers alerts and provides an action plan to correct discrepancies.	Sets institution recommended asset allocation for diverse investor profiles. Permits the creation of investment baskets and model portfolios.	Verifies that an investor's portfolio is in compliance with stated investment objectives. Triggers alerts when divergent from the stated objectives.
Client Relationship Management	Consolidates accounts into households, creates customized dynamic client lists with multiple criteria, allows quick searches, creates single and batch client reports as well as advisor reports, and allows for maintenance and editing of client data and contact history.	Generates dynamic management reports, such as accounts subject to margin calls.	Tiers information to oversee individual advisors and branches, and creates advisor, branch, region and firm wide asset allocation reports. Also used to create dynamic watch lists based on financial criteria.
Straight-Through Rules-Based Order Management	Order entry is integrated within the application, eliminating the need to switch terminals to process orders. Full trade history is retained for each security.	Implements trade authorization and customized business rules for each user. Ability to select most cost efficient way for routing individual orders.	Transaction risk is controlled at the source by managing users' trade authorization level. Order compliance measured up against client investment objectives prior to order fulfillment. Trade history is preserved for each transaction.
Portfolio Management (Real-time capable)	A full array of portfolio, household and account views are available to the advisor including graphics, performance return, benchmarks, annual projected income, realized gain/loss, historical activities etc....	Enables rapid searches of client accounts in order to monitor problematic entries.	Analyzes account activity to identify discrepancies between investment objectives and actual positions.
Securities Management	Ability to categorize securities by sector, industry, credit rating, risk and the organization's customized set(s) of parameters.	Sets categories using a feed from a data vendor or setting up custom categories as defined by the institution.	Portfolio review capabilities ensure adherence to sector categorization as selected by institution.
Corporate Actions	Provides exact book values for accurate portfolio valuation.	Single entries adjust book value for a specific security for all positions held within your institution. Data can be captured before, on, or after date of event.	Avoids misrepresentation of gains/losses.
Flat Fee Management	Allows the charging of fees to a specified account, portfolio or household and the generation of fee reports for WRAP accounts. Automated billing and advanced reporting	Flexible flat fee schedules are set and edited to reflect institution guidelines for each asset class. Ability to execute automated billing.	Forecasts estimated revenue and controls accuracy of charges to clients' accounts.
Household Reconciliation	Offers a consolidated view and reports on related accounts for a family or a group of clients.	Manages the creation of households by operations department to oversee account set up.	Ensures that households are being reported on properly.
Client/Broker/Firm Reporting	A full set of broker and client reports are available in Portable Document Format (PDF) for easy printing and/or transmission to clients via email or fax.	Generates firm wide, region wide and individual reports any time to investigate problems.	Generates firm wide, region wide and individual reports any time for compliance purposes.
Commission Calculator	Allows preview and override of commission to be charged per trade, as well as advisor's payout.	Sets and edits commission tables and advisors' compensation to reflect institution guidelines.	Ensure commission rules and calculations are being adhered to by advisors.
Off-Book Management	Positions held off-book can be integrated to portfolio holdings and dynamically priced in order to obtain "true" picture of client's assets.	Creates off-book securities codes and maintains prices to populate off-book data.	Helps firm provide consistent reporting of off-book holdings from a common data store.

Ndex Wealth Management Solution

Ndex provides business solutions that support the entire wealth management chain. Ndex uses technology tools to create a client profile that helps retain clients and assets under administration. Through its wealth management platform, Ndex guarantees customers immediate cost savings due to operational efficiencies as well as increased revenue through intelligent client data management. Fully AIMR compliant, the Ndex solution was designed to support the entire wealth management chain for retail and institutional portfolio management and rendering the entire advisory channel electronic from opening an account to placing a trade. If you wish to expand your current activities as a full service broker to add other business lines such as mutual funds dealerships or online brokerage, all additional functions are ready to be turned on. This is made possible by Ndex's new approach of integrating back-office to front-office technology. Simple to use, set up and maintain, configuration and training are minimal and back office connectivity transparent. Ndex allows institutions to build upon the expertise of people within the firm to do the tasks they were hired to do, all the while putting tools in their hands enabling them to do so.

About Ndex Systems Inc.

Ndex Systems Inc. has been in business since 1999, devoted to the development of intelligent software for the financial industry. Its state of the art products are used by a number of domestic and international financial institutions seeking to improve their productivity and their bottom line.

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eQuate for Mutual Fund Dealers

How can a Mutual Fund Dealers provide front office tools at a price that makes sense to the financial advisor? How can they automate their business process from account opening and portfolio management right through mutual fund transacting and reporting?

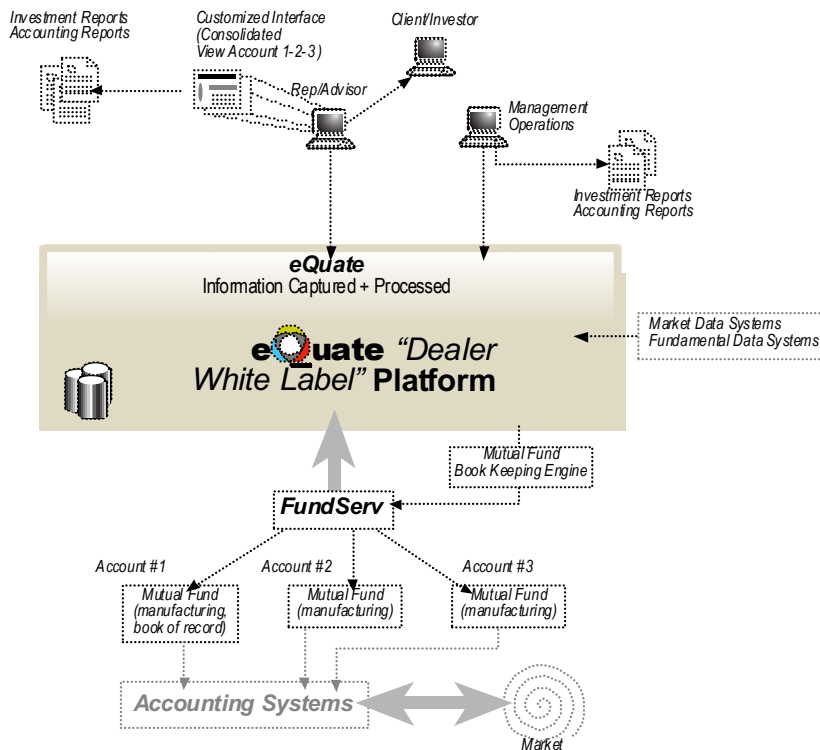
Ndex's product eQuate for Mutual Fund Dealers provides an answer to these questions by delivering a platform that integrates all the business processes and rationalizes operations.

Ndex brings the ultimate wealth management tool to the mutual fund industry. Integrated portfolio management and compliance monitoring and reporting, all in one easy to use Web application with seamless integration to FundServ. Our product suite will satisfy your need to lower costs by automating a multitude of functions. At the same time Ndex guarantees its solution will help you increase your revenue over time since its investor profile engine is capable of matching products to customers needs. No one else in the industry can make this claim. Should you wish to expand into full service brokerage as well, Ndex will support both IDA and MFDA business lines on the same platform.



Product Highlights

- Account Opening Management
- On-line Integrated Trade Processing
- Automated Fund Purchase Plans (PACs)
- Automated KYC compliance
- CRM
- Advanced Portfolio Management
- Seamless Integration to FundServ
- Household Reconciliation
- Investment Guide Setup and Monitoring
- Sales Reporting and Commission Calculations
- Performance Rate of Return



eQuate for Mutual Fund Dealers allows all mutual fund dealers to provide to their advisors with a single and customized view of their client holdings while capturing, processing and maintaining investor behavior.



FEATURES	Client Management	Operations
Account Opening & KYC Profiling (straight-through processing)	Allows online capture of client and new account data fulfilling KYC requirements. Ability to open multiple accounts with single data input.	<ul style="list-style-type: none"> Tracks workflow throughout the account opening process, as well as tracking documentation online and the assignment of account numbers. Documents verification of compliance rules and the application of investment objectives against accounts.
Investment Guide	Monitors discrepancies between the recommended asset allocation and the actual portfolios. Triggers alerts and provides an action plan to correct discrepancies.	<ul style="list-style-type: none"> Applies institution-recommended asset allocation for diverse investor profiles. Permits the creation of investment baskets and model portfolios. Verifies that portfolios are in compliance with stated investment objectives. Triggers alerts when divergent from objectives.
Client Relationship Management	Consolidates accounts into households, creates customized dynamic client lists with multiple criteria, allows quick searches, creates single and batch client reports as well as representatives' reports, and allows for maintenance and edition of client data and contact history.	<ul style="list-style-type: none"> Generates dynamic management reports such as branch performance reports and regional profitability Tiers information to oversee individual financial advisors and branches and creates representative, branch, region and firm wide asset allocation reports. Also used to create dynamic watch lists based on financial criteria.
Order Management	Order entry is integrated within the application eliminating double entry errors. Full trade history is retained for each fund.	<ul style="list-style-type: none"> Manages trade authorization and business rules for individual users or groups of users. Rationalizes order processing by holding and releasing orders entered directly from the field that may be time sensitive. Transaction errors resulting from double entries are avoided. Compliance of transactions with investment objectives can be validated.
Portfolio Management	A full array of portfolio, household and account views are available to the financial advisor including graphics, performance return, benchmarks, annual projected income, realized gain/loss, historical activities etc....	<ul style="list-style-type: none"> Enables rapid searches of client accounts in order to monitor problematic entries. Analyzes account activity to identify discrepancies between investment objectives and actual positions.
Funds Management	Ability to categorize funds by family and type of funds as well as your own custom set of parameters.	<ul style="list-style-type: none"> Sets types using a feed from a data vendor or setting up custom categories as defined by the institution. Portfolio review capabilities ensure adherence to categorization type as selected by institution.
Household Reconciliation	Offers a consolidated view and reports on related accounts, such as a family or a group of clients.	<ul style="list-style-type: none"> Manages the creation of households by the Operations Department to oversee account set up. Ensures that households are being reported on properly.
Client/Financial Advisor/Firm Reporting	A full set of advisor and client reports are available in Portable Document Format (PDF) for easy printing and/or transmission to clients via email or fax. Ability to produce Rates of Return reports by fund, plan, client and household.	<ul style="list-style-type: none"> Generates firm wide, region wide and individual reports any time to investigate problems. Generates firm wide, region wide and individual reports any time for compliance purposes.
Off-Book Management	Funds held off-book can be integrated to portfolio holdings and dynamically priced in order to obtain "true" picture of client's assets.	<ul style="list-style-type: none"> Creates off-book fund codes and maintains prices to populate off-book data. Helps firm provide consistent reporting of off-book holdings from a common data store.

Ndex Wealth Management Solution

Ndex provides business solutions that support the entire wealth management chain. Ndex uses technology tools to create a client profile that helps retain clients and assets under administration. Through its wealth management platform, Ndex guarantees customers immediate cost savings due to operational efficiencies as well as increased revenue through intelligent client data management. Fully AIMR compliant, the Ndex solution was designed to support the entire wealth management chain for retail and institutional portfolio management and fund management, rendering the entire advisory channel electronic from opening an account to placing a trade. If you wish to expand your current activities as a mutual fund dealer to add other business lines such as full service brokerage or online brokerage, all additional functions are ready to be turned on. This is made possible by Ndex's new approach of integrating back-office to front-office technology. Simple to use, set up and maintain, configuration and training are minimal and back office connectivity transparent. Ndex allows institutions to build upon the expertise of people within the firm to do the tasks they were hired to do, all the while putting tools in their hands enabling them to do so.

About Ndex Systems Inc.

Ndex Systems Inc. has been in business since 1999, devoted to the development of intelligent software for the financial industry. Its state of the art products are used by a number of domestic and international financial institutions seeking to improve their productivity and their bottom line.

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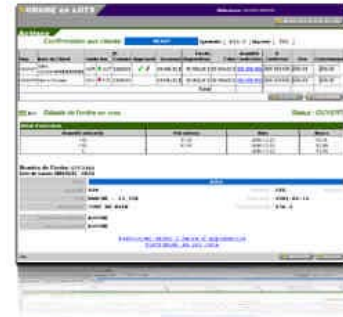


eQuate for Money Managers

Are you using Excel spreadsheets to run performance reports, "what-if analysis" and apply asset allocation for your clients? Do you find manual processes time consuming, particularly when reconciling at month-end? Are you worried about what will happen when assets under administration start to grow? In the past, technology options for the professional money manager have been cumbersome in their reporting techniques, difficult to manage and maintain, and extremely expensive. Today's money manager needs a cost-effective, easy-to-use portfolio management, trade execution and reporting platform that makes the business of asset management, easy to manage.

Ndex's eQuate for discretionary money managers has the ability to manufacture and manage a one-to-one investment strategy relationship with investors, by automating a platform that reduces operational tasks and increases efficiency.

Ndex brings the ultimate wealth management tool to the discretionary money manager with integrated portfolio management, "any date" performance reporting against benchmarks, custom securities baskets, fee management and householding, as well as bulk trading and income projections. All in one easy to use Web application with seamless integration to the book of record or your custodian. This product suite will satisfy your need to lower costs by automating a multitude of functions. No one else in the industry can make this claim. Ndex delivers a state of the art full service platform capable of adapting to the changing needs of the discretionary money management industry.

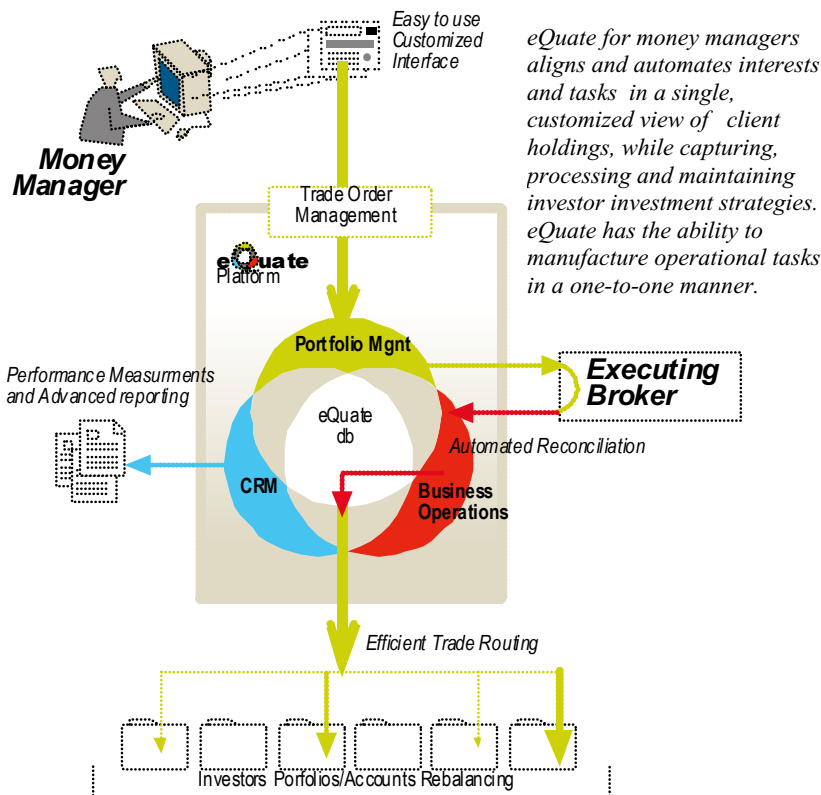


Advanced Reporting

- Performance Report
- Realized Gain/Loss Report
- Asset Allocation Report
- Summary Report
- Position Report
- Categorized Position Report
- Annual Projected Income Report
- Activity Report
- Daily Activity Report
- Maturity Report

Product Highlights

- Portfolio Modeling & Rebalancing
- Trade Order Management
- Automated Reconciliation
- Performance Measurements & Attribution
- Efficient Trade Routing



FEATURES	Portfolio & Client Management	Operations	Compliance
Advanced Reporting	A full set of broker and client reports are available in Portable Document Format (PDF) for easy printing and/or transmission to clients via email or fax.	Generates firm wide, region wide and individual reports any time to investigate problems.	Generates firm wide, region wide and individual reports any time for compliance purposes.
Account Opening & KYC Profiling	Allows online capture of client & new account data fulfilling KYC requirements.	Tracks workflow throughout the account opening process, as well as tracking documentation and assignment of account numbers.	Automate client credit authorization, client documents verification.
Advanced Portfolio Management	A full array of portfolio, household and account views are available to the advisor including graphics, performance return, benchmarks, annual projected income, realized gain/loss, historical activities etc....	-	-
Bulk Trade Processing	Order entry is integrated within the application eliminating the need to switch terminals to process orders. Full trade history is retained for each security.	Implements trade authorization and customized business rules for each user. Ability to choose most cost efficient way for order routing.	Upon order confirmation, the order compliance with the investment objectives can be validated. Trade history is preserved for each transaction.
Household Reconciliation	Offers a consolidated view and reports on related accounts, such as a family or a group of clients.	Creates households upon request from front office.	-
Securities Management	Ability to categorize securities by sector, industry, credit rating, risk and a custom set of parameters. Ability to create and track equities, fixed income and equities baskets.	Custom categories as defined by the institution or by feed default.	-
Investment Guide	Monitors discrepancies between the recommended asset allocation and the actual portfolios. Triggers alerts and provides an action plan to correct discrepancies.	Permits the creation of investment baskets and model portfolios.	-
Corporate Actions	Provides exact book values for accurate portfolio valuation	A single entry adjusts book value for a specific security for all positions held within the portfolio.	Avoids misrepresentation of gains/losses.
Client Relationship Management	Consolidates accounts into households, creates customized dynamic client lists with multiple criteria, allows quick searches, creates single & batch client reports as well as advisors reports, and allows for maintenance and edition of client data and contact history. Transportable to Excel for mass mailings and customized individual reports.	Generates operations related dynamic lists for quick month-end, quarter-end and year-end management reporting.	Allows oversight of individual advisors & branches & creates advisor, branch, region & firm wide asset allocation reports. Also used to create dynamic watch lists based on financial criteria.

Ndex Wealth Management Solution

Ndex provides business solutions that support the entire wealth management chain providing advanced reporting to retain assets under administration. Through its wealth management platform, Ndex guarantees customers immediate cost savings due to operational efficiencies and intelligent client data management. Fully AIMR compliant, the Ndex solution was designed to support the entire wealth management chain for retail and institutional portfolio management and rendering the entire advisory channel electronic from opening an account to placing a trade. If you wish to expand your current activities as a money manager to add other business lines such as mutual funds dealerships or online brokerage, all additional functions are ready to be turned on. This is made possible by Ndex's new approach of integrating back-office to front-office technology. Simple to use, set up and maintain, configuration and training are minimal and back office connectivity transparent. Ndex allows institutions to build upon the expertise of people within the firm to do the tasks they were hired to do, all the while putting tools in their hands enabling them to do so.

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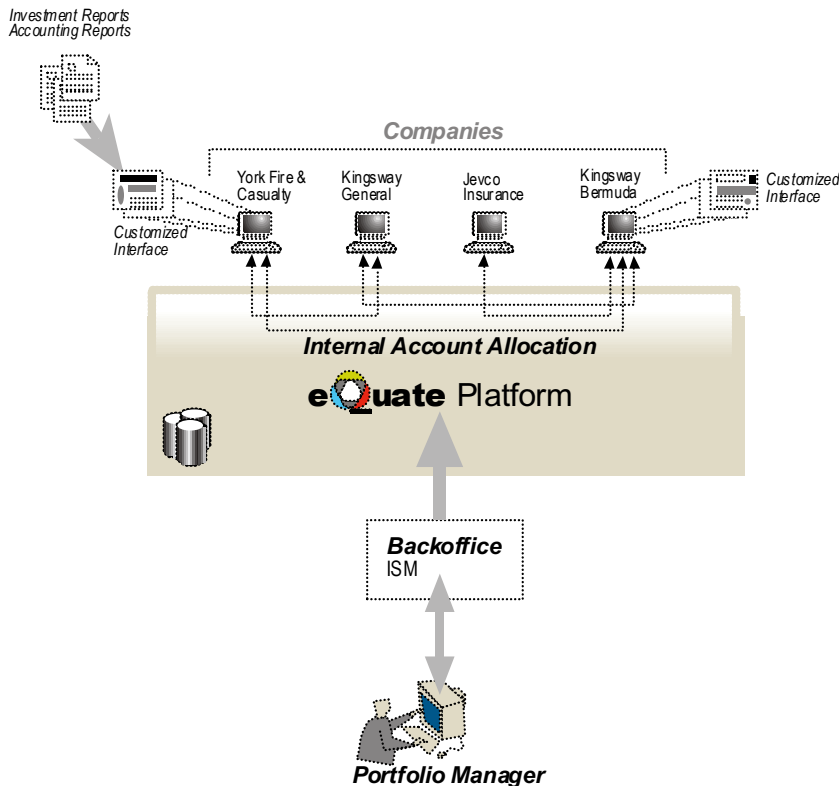


eQuate for Fund Managers

As a fund manager, keeping track of portfolio performance against established benchmarks, rebalancing portfolios and responding to month-end reporting requirements for clients are crucial activities that require more than a database query. Systems on the market today for institutional portfolio management are expensive and cumbersome in their reporting techniques. What cost-effective portfolio and trade execution platform, leveraging one database, can I access as a fund manager?

Ndex's product eQuate for mutual fund managers provides answers to those questions by delivering a platform that decreases costs and provides enhanced reporting.

Ndex brings the ultimate wealth management tool to fund managers with integrated portfolio management, "any date" performance reporting against benchmarks, custom securities baskets and bulk trading along with asset inflations all in one easy to use Web application with seamless integration to the book of record or your custodian. This product suite will satisfy your need to lower costs by automating a multitude of functions. Ndex delivers a state of the art platform capable of adapting to the changing needs of fund industry.



eQuate for Fund Managers is a white label product that allows all mutual fund managers to provide to their rep/advisors a single and customized view of their client holdings while capturing, processing and maintaining investor behavior.



Product Highlights

- Online Account Opening
- Flat Fee Management
- Advanced Portfolio Management with Performance Reporting
- Integrated Bulk Trade Processing
- Income Projections
- Household Reconciliation
- Automated KYC Compliance
- Equities, Fixed Income and Mutual Funds Baskets
- Contact Management Tools
- Corporate Actions
- Seamless Integration to Book of Record and Custodian



FEATURES	Portfolio & Client Management	Operations	Compliance
Account Opening & KYC Profiling (straight-through processing)	Allows online capture of client & new account data fulfilling KYC requirements.	Tracks workflow throughout the account opening process, as well as tracking documentation and assignment of account numbers.	Authorizes client credit, verifies client documents required for compliance and verifies to ensure investment objectives have been met.
Advanced Portfolio Management (Real-time Capable)	A full array of portfolio, household and account views are available to the advisor including graphics, performance return, benchmarks, annual projected income, realized gain/loss, historical activities etc....	Conducts fast searches through client accounts to search problematic entries.	Analyzes account activity to identify discrepancies between investment objectives and actual positions.
Advanced Reporting	A full set of broker and client reports are available in Portable Document Format (PDF) for easy printing and/or transmission to clients via email or fax.	Generates firm wide, region wide and individual reports any time to investigate problems.	Generates firm wide, region wide and individual reports any time for compliance purposes.
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Investment Guide	Monitors discrepancies between the recommended asset allocation and the actual portfolios. Triggers alerts and provides an action plan to correct discrepancies.	Sets institution recommended asset allocation for diverse investor profiles. Permits the creation of investment baskets and model portfolios.	To verify that the investor's portfolio is in compliance with his stated investment objectives. Alerts are triggered when not in compliance.
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eQuate for Customer Direct Investment Services

When discount brokerage services exploded on the scene during the 90's, investors who wanted a new way of doing business, flocked to the discount world in huge numbers. The rapid success of online brokerage or customer direct channels increased transaction volumes for financial institutions and provided a new way of doing business. But with the growth, came the challenge of how to deliver a better online experience and retain customers. What does the next generation of online services look like?

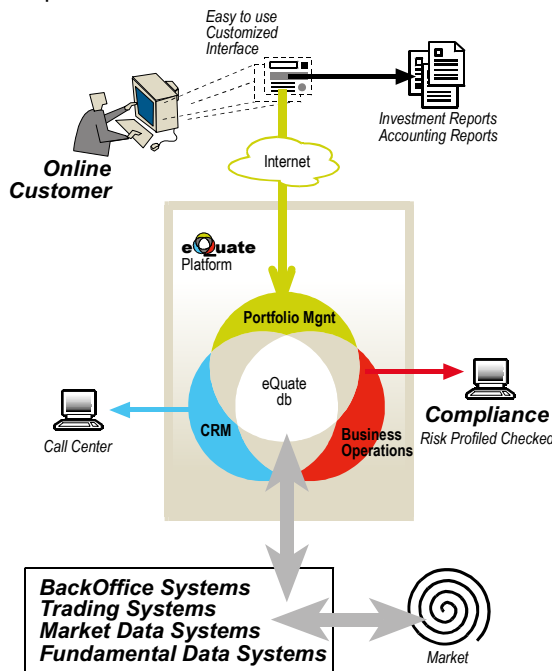
Ndex's product, eQuate for Customer Direct Investment Services provides the next generation solution for the online world. Whether you are a discount broker, a mutual fund dealer or you want to enhance the full service experience with online services, Ndex provides an easy-to-use portfolio and account management system that goes beyond providing just another technology tool. The Ndex system actually helps you track and profile investors so that you are able to automate the process of providing one-to-one service online. Investor profiling is the key to building an online relationship with a client and actually allows a firm to "package to spec" products and services for the online investor.

For the financial institution, Ndex provides the ultimate wealth management platform. Integrated portfolio management and compliance monitoring and reporting, are all available in one easy to use Web application with seamless integration to the back office. This product suite helps lower operational costs by automating a multitude of functions helping improve margins. At the same time Ndex guarantees its solution will increase revenue over time since its patented investor profile engine, eTCP, is capable of matching products to customer needs. No one else in the industry can make this claim. Ndex delivers a state of the art, online platform capable of adapting to the changing requirements of the self-directed channel.



Product Highlights

- Automated Account Opening
- Trade Processing
- Trade Authorization Levels
- Automated KYC Compliance
- Compliance against Selected Investment Models
- Multiple Activities Criteria Queries
- AIMR Compliance Portfolio Management
- Seamless Trade and Back Office Integration
- Household Reconciliation



eQuate for customer direct investment services aligns and monitors all client holdings and behavior, while capturing, processing and maintaining investor investment strategies. Data that can be used for future targeted services and products.



FEATURES	Customer Access	Call Center	Operations	Compliance
Account opening and KYC Profiling – from the desktop to the back office	Allows client to capture new account opening information and fulfill KYC requirements.	Allows the call center to capture and view client and new account data, fulfilling KYC requirements.	Tracks workflow throughout the account opening process, as well as tracking documentation and automating the assignment of account numbers.	The system authorizes client credit, verifies compliance and automates the process of ensuring accounts conform to investment objectives.
Straight-Through Rules-Based Order Management	Allows investors to process and check the status of orders. Trade history is retained for each security.	Tiered user management allows desk to verify order parameters before releasing for execution or allowing investor to pass them straight through. Order entry and releasing are integrated within the application and can be turned on or off.	Automates the process of implementing trade authorization and customized business rules for each client. Create the ability to select most cost efficient way for routing individual orders.	Transaction risk is controlled at the source by managing users' trade authorization level. Order compliance measured up against client investment objectives prior to order fulfillment. Trade history is preserved for each transaction.
Investment Guide	Monitors discrepancies between the recommended asset allocation and the actual portfolios. Triggers alerts and provides an action plan to correct discrepancies.	Monitors discrepancies between the recommended asset allocation and the actual portfolios. Triggers alerts and provides an action plan to correct discrepancies.	Sets institution recommended asset allocation for diverse investor profiles. Permits the creation of investment baskets and model portfolios.	Verifies that an investor's portfolio is in compliance with stated investment objectives. Triggers alerts when divergent from the stated objectives.
Account Management	Allows client to view and print a consolidated view of all family accounts.	Consolidates accounts into households, creates customized dynamic client lists with multiple criteria, allows quick searches, creates single and batch client reports, and allows for maintenance and edition of client data and contact history.	Generates dynamic management reports, such as accounts subject to margin calls.	Provides for intra-day monitoring of accounts and flags accounts for monitoring.
AIMR Compliant Portfolio Management	A complete set of portfolio and account views including graphs, performance, projected income, etc... Client can retrieve all activities related to accounts, including all cash activities and transactions.	A full array of portfolio, household and account views are available to the call centre including graphics, performance return, annual projected income, realized gain/loss, historical activities etc....	Enables rapid searches of client accounts in order to monitor problematic entries.	Analyzes account activity to identify discrepancies between investment objectives and actual positions.
Securities Management	Allows client to obtain multiple views of his portfolios based on the choice of parameters offered by his institution.	Call centre can view each clients' positions ranked by sector, industry, credit rating, risk and the organization's customized set(s) of parameters.	Sets categories using a feed from a data vendor or setting up custom categories as defined by the institution.	Portfolio review capabilities ensure adherence to sector categorization as selected by institution.
Household Reconciliation	Offers a consolidated view of all accounts and reports on related accounts for a family.	Offers a consolidated view and reports on related accounts for a family or a group of clients.	Manage the creation of households by operations department to oversee account set up.	Ensures that households are being reported on properly.

Ndex Wealth Management Solution

Ndex provides business solutions that support the entire wealth management chain providing advanced reporting to retain assets under administration. Through its wealth management platform, Ndex guarantees customers immediate cost savings due to operational efficiencies and intelligent client data management. Fully AIMR compliant, the Ndex solution was designed to support the entire wealth management chain for retail and institutional portfolio management and rendering the entire advisory channel electronic from opening an account to placing a trade. If you wish to expand your current activities to add other business lines such as mutual funds dealerships or online brokerage, all additional functions are ready to be turned on. This is made possible by Ndex's new approach of integrating back-office to front-office technology. Simple to use, set up and maintain, configuration and training are minimal and back office connectivity transparent. Ndex allows institutions to build upon the expertise of people within the firm to do the tasks they were hired to do, all the while putting tools in their hands enabling them to do so.

About Ndex Systems Inc.

Ndex Systems Inc. has been in business since 1999, devoted to the development of intelligent software for the financial industry. Its state of the art products are used by a number of domestic and international financial institutions seeking to improve their productivity and their bottom line.

To set up a demo or a pilot program, contact:

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