



*FundSERV*

## 2010 IROC FAS Conference

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*Promoting **CONNECTIVITY**, **EFFICIENCY** and **CONFIDENCE**  
within the investment fund industry*

FundSERV Inc.



# Topics

GICSERV



Offshore Funds



InsureSERV



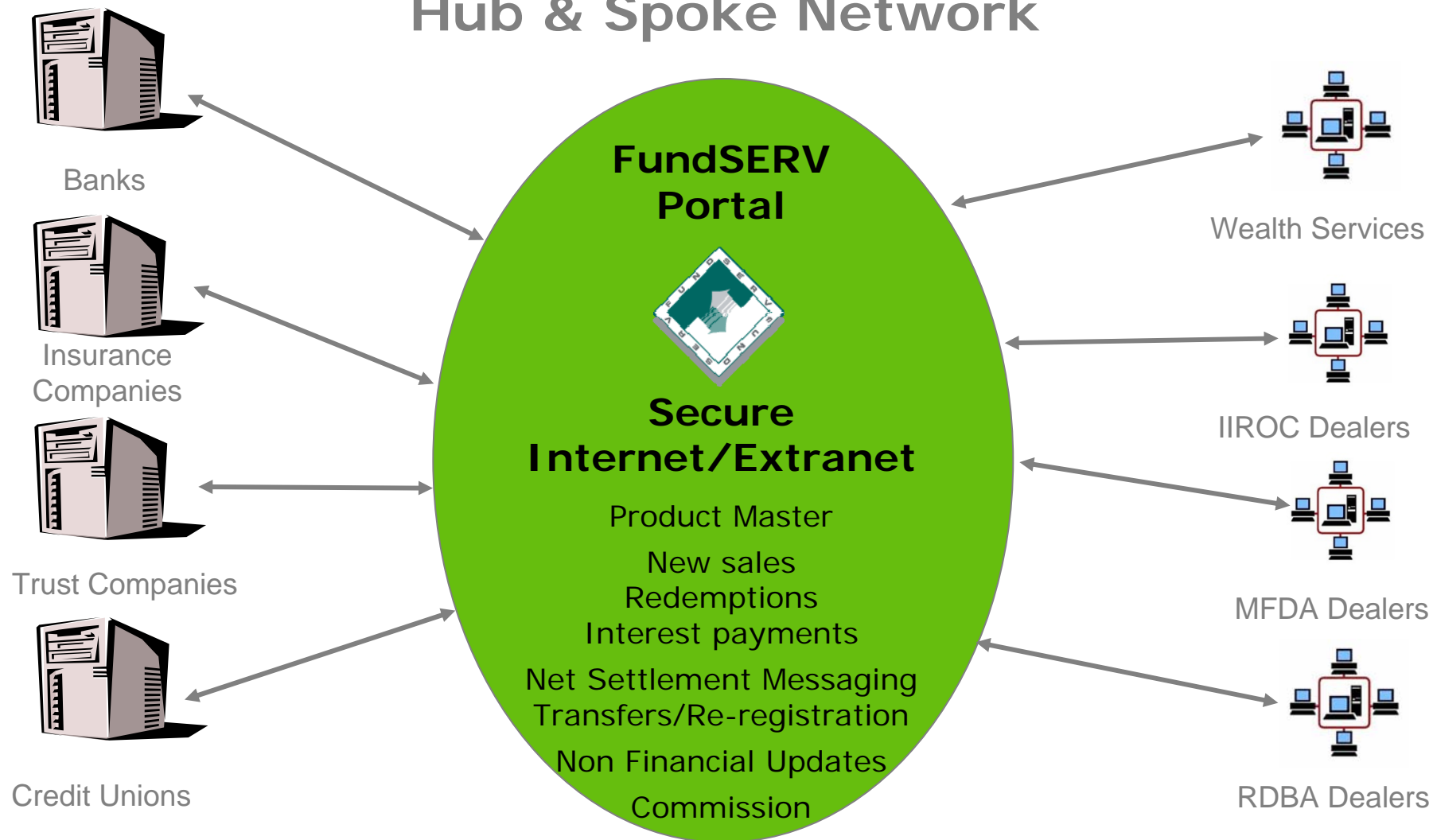
Point of Sale





# GICSERV Opportunity

## Hub & Spoke Network





# GICSERV Functional Release

## Phase 1

1. Product Master
2. Purchases
3. Early Redemptions
4. Confirmations
5. Reconciliations

## Phase 2

1. Redemptions at Maturity
2. Interest Payments
3. Commissions
4. Net Settlement Messaging

## Phase 3

1. Transfers
2. Re Reg
3. Non-financial Updates



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# *Offshore Funds Opportunity*

- New relationship that bridges infrastructures for the U.S. and Canadian fund markets
- Ability to reach global fund investors via National Securities Clearing Corporation (NSCC)
- FundSERV will route offshore fund transactions to NSCC's Fund/SERV<sup>©</sup> platform for order processing, settlement and reconciliation in USD, EUR and GBP



# *Offshore Agreements*

- Standard FundSERV Inc. contract
- Additional “Offshore Fund Schedule Canadian Investment Dealers Agreement”



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# *InsureSERV Opportunity*

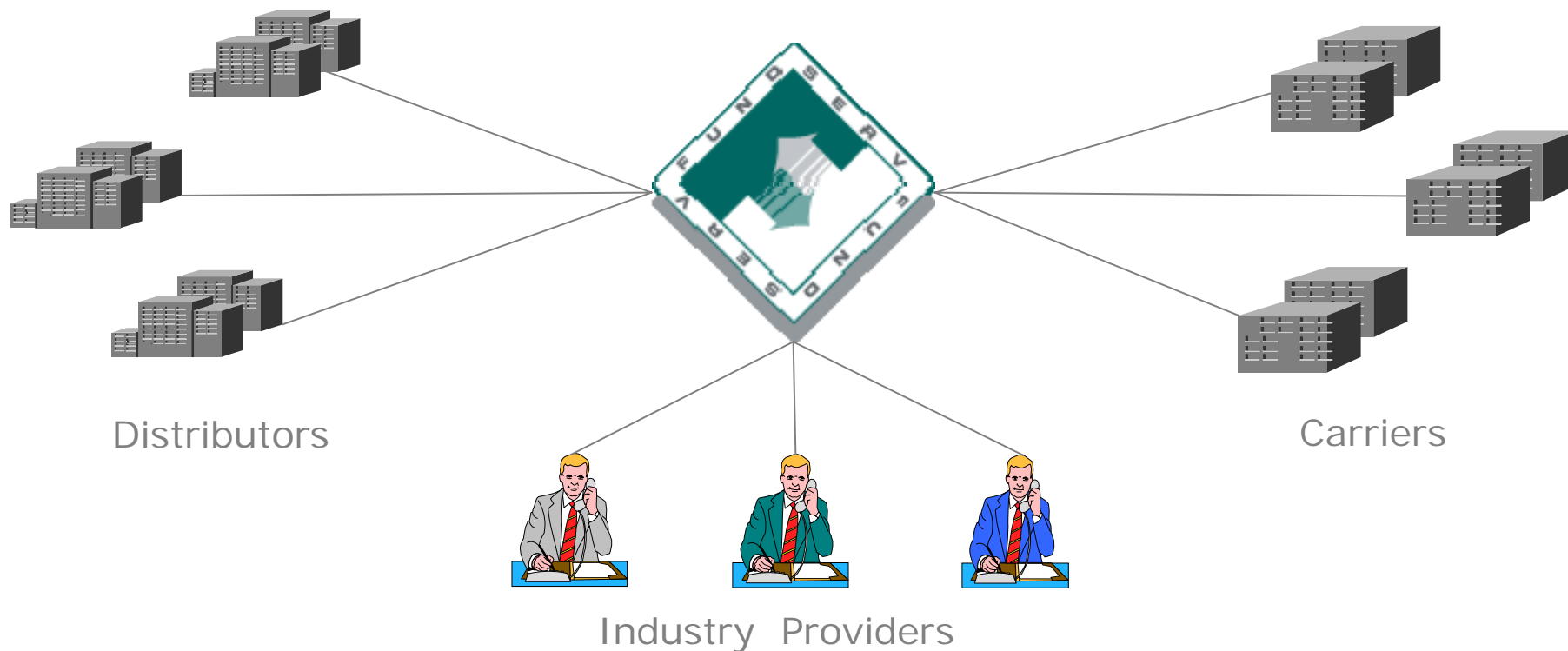
- FundSERV in partnership with the life insurance industry are working together to define a solution for the electronic capture of information
- FundSERV is uniquely positioned to assist the life insurance industry in moving forward with an automated solution
- Many carriers and distributors are already connected to FundSERV's network



# InsureSERV Opportunity

## Connectivity

Provide common interface with insurance industry providers





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Point of Sale



# *Point of Sale*

- Fund Fact Repository
- Access for advisors and investors
- Search and retrieve fund facts documents
- Content administered by fund manufacturers



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# Questions

