

## Search Tips

- Be sure to enter the individual's last name precisely. Please beware of homonyms (Lee/Li), compound names (Smith Jones or Smith-Jones) and ignore suffixes (Jr., III).
- If you're not sure of the precise firm name or can't find it on the drop-down member list then leave it out and just search by the name of the individual.
- You may have problems searching if you have not enabled 'Cookies' and "Pop-ups' on your computer.
- You may get numerous possible matches when you search a name. Review them closely to make sure you have the correct result.
- Remember, if you are searching for someone who is not a current IIROC registrant but was registered in the past, visit IIROC's [Consolidated Enforcement Actions](#) to search a larger database.
- If you are searching only for a firm's disciplinary history, visit the same [Consolidated Enforcement Actions](#) to search for all cases related to one registered firm.