

APPENDIX "B" – DEALERS

(to be completed by all non-Alberta residents, *foreign or domestic*, seeking registration as salesperson or trading officer)

FORM OF SUBMISSION TO JURISDICTION AND APPOINTMENT OF AGENT FOR SERVICE OF PROCESS BY SALESPERSON, PARTNER OR, OFFICER OF EXTRA-PROVINCIAL DEALER

1. Name of firm registrant (the "Registrant"): _____
2. Jurisdiction of incorporation of Registrant: _____
3. Name(s) and address(es) of person(s) filing this form (the "Filing Person"):

4. Name of agent for service of process (the "Agent"): _____
5. Address for service of process of Agent in Alberta:

6. Each Filing Person hereby designates and appoints the Agent at the address of the Agent stated above as its agent upon whom may be served any notice, pleading, subpoena, summons or other process in any action, investigation or administrative, civil, criminal, quasi-criminal or other proceeding (the "Proceeding") arising out of or relating to or concerning the Registrant's or Filing Person's registration under the Alberta *Securities Act*, S.A. 1981, c. S-6.1, as amended or the Registrant's or Filing Person's activities in Alberta as a registrant, and irrevocably waives any right to raise as a defense in any such proceeding any alleged lack of jurisdiction to bring such Proceeding.
7. Each Filing Person hereby irrevocably and unconditionally submits to the non-exclusive jurisdiction of the judicial, quasi-judicial and administrative tribunals and the civil and criminal courts of Alberta and any administrative proceeding in Alberta, in any Proceeding arising out of or related to or concerning the Registrant's or Filing Person's registration under the Act or the Registrant's or Filing person's activities in Alberta as a registrant.
8. Until the earlier of: (i) the termination of the Filing Person's position as an officer and/or director of the Registrant; and (ii) six years after the termination of the Registrant's registration under the Act, such Filing Person shall file:

- (a) a new Submission to Jurisdiction and Appointment of Agent for Service of Process in this form at least 30 days prior to termination of this Submission to Jurisdiction and Appointment of Agent for Service of Process for any reason whatsoever; and
- (b) an amended Submission to Jurisdiction and Appointment of Agent for Service of Process at least 30 days before any change in the name or above address of the Agent.

9. This Submission to Jurisdiction and Appointment of Agent for Service of Process shall be governed by and construed in accordance with the laws of Alberta.

Dated: _____

(Signature of Filing Person)

Dated: _____

(Signature of Filing Person)

Dated: _____

(Signature of Filing Person)

Dated: _____

(Signature of Filing Person)

ACCEPTANCE OF APPOINTMENT AS AGENT

The undersigned accepts the appointment as agent for service of process on _____ (Insert name(s) of Filing Persons) under the terms and conditions of the foregoing Submission to Jurisdiction and Appointment of Agent for Service of Process.

Dated: _____

(Signature of Agent or authorized signatory)

(Name and title of authorized signatory)