

WEBSITE PRIVACY POLICY

Our website privacy policy applies to personal information submitted to the Investment Industry Regulatory Organization of Canada (“IIROC” or “we”) by users of the IIROC website. The IIROC website allows for a website user to (a) submit a resume to IIROC, (b) make an online complaint to IIRCO, or (c) register to receive notices from IIROC via email. To the extent that an IIROC website user submits personal information to IIROC in the course of these activities, this policy will apply. For more information about other aspects of the IIROC Privacy Code, including the collection, use and release of personal information received by means other than our website, please see the [IIROC Privacy Code](#).

The policy consists of five key principles:

1) **Collecting and Using Information:**

The personal information we collect through the IIROC website is limited to what we need for the above three purposes. If we wish to use your personal information for any other purpose, we will obtain your consent before using the information.

2) **Releasing Information:**

We may provide your personal information to other persons, but only if: i) we have your consent, ii) we provide the personal information to our suppliers or agents who assist us in serving you and they have agreed to abide by our privacy policy, iii) we do so for regulatory purposes, such as to assist in an investigation by us or by a securities regulatory authority, regulated marketplace, self-regulatory organization, law enforcement agency, foreign securities regulator or similar body, or iv) we are required to do so by law.

3) **Protecting Information:**

We will protect your personal information with appropriate safeguards and security measures. We will retain your personal information only for a time it is required for the purposes for which it was collected. For example, resumes will be kept on file for 6 to 12 months, so that we may contact you should a position become available.

4) **Providing Information Access and Accuracy:**

On your request, we will provide you with a copy of the personal information about you that you have provided to us if we have retained it. We will make every reasonable effort to keep your personal information accurate and up-to-date.

5) **Respecting and Responding to Your Privacy Concerns:**

We will investigate and respond to your concerns about any aspect of our handling of your personal information.