



Paul R. Riccardi
Senior Vice President, Enforcement, Policy and Registration

Paul Riccardi is responsible for IIROC's enforcement and registration operations, as well as the development of dealer-member policy.

Upon completion of his MBA in 1990, Paul joined the corporate debt syndication department of a Canadian investment bank. Since that time, he has held senior operational and compliance positions with two of Canada's leading investment banks until joining IIROC in November 2009.