

How To File Regulatory Financial Reports

Securities Industry Regulatory Financial Filing System (“SIRFF”)

Applicants are encouraged to prepare and file electronically their Audited Joint Regulatory Financial Questionnaire & Report (Form 1) using the Web-Based Reporting System (SIRFF). This filing is in addition to the signed original and copy to be submitted with the membership application.

The system may be accessed at <https://www.cipf.ca/webfiling/>

To use the web-based system, a User ID and Password may be obtained by telephoning Heather Barclay at 416-943-6931 or Nellie Gomes at 416-943-6937.

Applicants are required to file using the Web-Based System (SIRFF), a Monthly Financial Report (MFR) within 20 business days of each month-end during the application process.