

Eligibility for Membership

A Canadian entity is eligible for Membership in the Investment Industry Regulatory Organization of Canada (“IIROC”) if:

- it is formed under Canadian federal, provincial or territorial law. A firm outside Canada would need to incorporate a Canadian entity that would apply for IIROC membership. The Canadian applicant would have to satisfy all requirements for membership;
- it proposes to carry on business in Canada as a dealer or advisor;
- it is registered or licensed in each jurisdiction in Canada where the nature of the business requires registration or licensing; and
- it will comply with the Rules of IIROC, as will its holding and related companies and directors, partners, officers and employees.