

NOTICE / NEWS RELEASE

For immediate release

Enforcement Notice

Hearing

18-0149

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IIROC to Set Discipline Hearing Date for Former Winnipeg Financial Advisor Edward Peter Bodnarchuk

August 3, 2018 (Winnipeg, Manitoba) – An appearance has been scheduled before a Hearing Panel of the Investment Industry Regulatory Organization of Canada (IIROC) for the purpose of setting a date for the disciplinary hearing in the matter of Edward Peter Bodnarchuk.

The discipline hearing concerns allegations that Mr. Bodnarchuk engaged in personal financial dealings with a client. IIROC Staff originally tried to have this allegation added to a previous disciplinary hearing involving Mr. Bodnarchuk. However, a motion to extend various related timelines was denied, and IIROC Staff are now bringing this allegation as a separate disciplinary proceeding.

The Notice of Hearing and Statement of Allegations which sets out the allegations is available at: <https://docs.iiroc.ca/DisplayDocument.aspx?DocumentID=7BA240FEE87E4953B0545C31637FEB45&Language=en>

The Hearing Panel's decision on the motion is available at: <https://docs.iiroc.ca/DisplayDocument.aspx?DocumentID=4A22729B60F446529D1F370F2FD10009&Language=en>

The set date appearance is open to the public, unless the Hearing Panel orders otherwise. The date for the discipline hearing will be made available at www.iiroc.ca.

Documents related to ongoing IIROC enforcement proceedings – including Reasons and Decisions of Hearing Panels – are posted on the IIROC website as they become available. Click [here](#) to search and access all IIROC enforcement documents.



Set Date Appearance: August 16, 2018 at 10:00 a.m.

Location: Radisson Hotel Winnipeg - Ambassador E, 288 Portage Avenue Winnipeg, MB

Specifically, the allegation is that:

- a) On or about January 14, 2013, Mr. Bodnarchuk gave his client \$5,000 via a personal cheque, without the knowledge or approval of his firm, contrary to Dealer Member Rule 29.1.

IIROC formally initiated the investigation into Mr. Bodnarchuk's conduct in October 2013. The alleged violation occurred while he was a Registered Representative with the Winnipeg branch of PI Financial Corp., an IIROC-regulated firm. Mr. Bodnarchuk is no longer a registrant with an IIROC-regulated firm.

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IIROC is the national self-regulatory organization which oversees all investment dealers and their trading activity in Canada's debt and equity markets. IIROC sets high quality regulatory and investment industry standards, protects investors and strengthens market integrity while supporting healthy Canadian capital markets. IIROC carries out its regulatory responsibilities through setting and enforcing rules regarding the proficiency, business and financial conduct of dealer firms and their registered employees and through setting and enforcing market integrity rules regarding trading activity on Canadian equity marketplaces.

IIROC investigates possible misconduct by its member firms and/or individual registrants. It can bring disciplinary proceedings which may result in penalties including fines, suspensions, permanent bars, expulsion from membership, or termination of rights and privileges for individuals and firms.

All information about disciplinary proceedings relating to current and former member firms is available in the [Enforcement](#) section of the IIROC website. Background information regarding the qualifications and disciplinary history, if any, of advisors currently employed by IIROC-regulated firms is available free of charge through the [IIROC AdvisorReport](#) service. Information on how to make investment dealer, advisor or marketplace-related complaints is available by calling 1 877 442-4322.