

IIROC NOTICE

Membership Development Notice Amalgamation

Please distribute internally to:

Credit
Legal and Compliance
Senior Management
Trading Desk

Contact:

Linda Hazlewood
Membership & GCO Specialist
416 943-6938
lhazlewood@iiroc.ca

18-0239

December 17, 2018

Echelon Wealth Partners Inc. and Dundee Securities Ltd.

The Investment Industry Regulatory Organization of Canada has approved the amalgamation of Echelon Wealth Partners Inc. and Dundee Securities Ltd. Following the transaction the Member will be known as:

Echelon Wealth Partners Inc.

Coincident with the amalgamation Dundee Securities Ltd. will surrender its membership in the Investment Industry Regulatory Organization of Canada.

The effective date of the transaction is December 15, 2018.