

NOTICE / NEWS RELEASE

For immediate release

Enforcement Notice

Decision

18-0112

For further information, please contact:

Enforcement Contact:

Media Contact:

Warren Funt
Vice-President, Western Canada
604 331-4750
wfunt@iiroc.ca

Andrea Zviedris
Manager, Media Relations
416 943-6906
azviedris@iiroc.ca

IN THE MATTER OF Winnipeg Advisor Edward Peter Bodnarchuk – Motion Decision

June 7, 2018 (Calgary, Alberta) – On May 2, 2018, in Calgary, Alberta, a Hearing Panel of the Investment Industry Regulatory Organization of Canada (IIROC) dismissed a motion brought by IIROC Staff in the matter of Edward Peter Bodnarchuk.

IIROC Staff had modified the existing notice of hearing (the “Amended Notice of Hearing”) to add a further allegation to the proceedings, as set out in the twice amended notice of hearing (the “Amended Amended Notice of Hearing”) available at:

<http://docs.iiroc.ca/DisplayDocument.aspx?DocumentID=56870F95B759476091E06F7D91402F43&Language=en>

The motion sought an order to extend timelines for:

- a) Filing and serving of the newly modified notice of hearing;
- b) Providing Mr. Bodnarchuk additional disclosure related to the additional allegation and
- c) Providing Mr. Bodnarchuk with a list of documents it intends to rely on at the hearing in support of the additional allegation.

The Hearing Panel’s decision on the motion will be available at:

<http://docs.iiroc.ca/DisplayDocument.aspx?DocumentID=4A22729B60F446529D1F370F2FD10009&Language=en>



The Amended Notice of Hearing which sets out the allegations is available at:

<https://docs.iiroc.ca/DisplayDocument.aspx?DocumentID=10BF8EDF07954D0AAEA681A487B8A1A4&Language=en>

The Notice of Hearing which sets out the original allegations is available at:

<https://docs.iiroc.ca/DisplayDocument.aspx?DocumentID=082143E6E94542F4B213AD0E82133E21&Language=en>

Documents related to ongoing IIROC enforcement proceedings – including Reasons and Decisions of Hearing Panels – are posted on the IIROC website as they become available. Click [here](#) to search and access all IIROC enforcement documents.

IIROC formally initiated the investigation into Mr. Bodnarchuk’s conduct in October 2013. The alleged violations occurred while he was a Registered Representative with the Winnipeg branch of National Bank Financial Ltd., and the Winnipeg branch of PI Financial Corp., both of which are IIROC-regulated firms. Mr. Bodnarchuk is currently a Registered Representative at the Winnipeg branch of PI Financial Corp, an IIROC-regulated firm.

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IIROC is the national self-regulatory organization which oversees all investment dealers and their trading activity in Canada’s debt and equity markets. IIROC sets high quality regulatory and investment industry standards, protects investors and strengthens market integrity while supporting healthy Canadian capital markets. IIROC carries out its regulatory responsibilities through setting and enforcing rules regarding the proficiency, business and financial conduct of dealer firms and their registered employees and through setting and enforcing market integrity rules regarding trading activity on Canadian equity marketplaces.

IIROC investigates possible misconduct by its member firms and/or individual registrants. It can bring disciplinary proceedings which may result in penalties including fines, suspensions, permanent bars, expulsion from membership, or termination of rights and privileges for individuals and firms.

All information about disciplinary proceedings relating to current and former member firms is available in the [Enforcement](#) section of the IIROC website. Background information regarding the qualifications and disciplinary history, if any, of advisors currently employed by IIROC-regulated firms is available free of charge through the [IIROC AdvisorReport](#) service. Information on how to make investment dealer, advisor or marketplace-related complaints is available by calling 1 877 442-4322.