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Education Notice

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New Webcast: Tips for Traders Montreal (Recorded on November 30, 2017)

Keeping abreast of developments in today's marketplace is a challenge. New developments in products, trading technologies and the increasing globalization of our industry make for a landscape that is constantly changing. To help you understand the compliance issues associated with this environment, the Member Education Services Department of the Investment Industry Regulatory Organization of Canada (IIROC) is pleased to announce the release of a Webcast of the Tips for Traders session held on November 30, 2017 in Montreal.

Alex Taylor, Vice-President, Market Surveillance, Sonali GuptaBhaya, Director, Market Regulation Policy and Michael Librizzi, Manager, Business & Trading Conduct Compliance will highlight the following topics:

- Single Stock Circuit Breakers
- Debt Surveillance
- Best Execution
- Client Identifier Proposal
- Emerging Trends in Surveillance



This webcast, which is available in English only, will be available for viewing on June 12, 2018 at 7:00 am Eastern time and on a 24/7 basis after that date.

Your participation in this webcast will earn you **1 hour of IIROC Continuing Education Compliance Credits**.

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