

IIROC NOTICE

Membership Development Notice Change of Control

Please distribute internally to:

Credit
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18-0089
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Electronic Transaction Clearing Canada, Inc.

The Ontario District Council of the Investment Industry Regulatory Organization of Canada has approved a change in control of Electronic Transaction Clearing Canada, Inc. (ETCC) whereby Robert Anthony Dilweg will indirectly hold a 100% equity interest in ETCC.

The effective date of the transaction is April 13, 2018.