

# IIROC NOTICE

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## **New Webcast: “The Role of Supervision Versus Compliance” presentation from the 2017 IIROC Compliance Conference**

At the IIROC Compliance Conference held in September 2017, in Toronto, IIROC hosted a panel discussion exploring the distinction between the role of compliance and supervision. This webcast is a recording of that session and provides greater clarity on the differences and the similarities of the two critical functions.

The presenters are:

- Sandra Blake, VP, Business Conduct Compliance, IIROC
- Kevin McCoy, VP, Market Policy and Trading Conduct Compliance, IIROC
- Elsa Renzella, SVP, Enforcement and Registration, IIROC
- Leo Ciccone, formerly, Chief Compliance Officer, Richardson GMP Securities
- Usman Sheikh, Partner, Gowling WLG



This webcast will be available for viewing commencing on April 10, 2018 at 7:00 am Eastern time and on a 24/7 basis after that date.

Please note that there is no accompanying quiz for this webcast. Upon completion of the webcast, participants will be issued an electronic and personalized Continuing Education credit (1.0 CE credit) certificate which can be applied to their **Compliance Requirements**.

[Click here to register](#)

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