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18-0199
October 31, 2018

New Webcast: IIROC's Regulatory Priorities

At the IIROC Annual Conference held in September, 2018, in Toronto, IIROC's senior management team discussed the regulatory priorities the organization is pursuing. This webcast is a recording of that session and provides a candid and engaging review of the critical issues, Market Regulation, Member Regulation, Enforcement as well as the Registration departments are currently addressing.

The panel discussion was chaired by Andrew J. Kriegler, CEO and President of IIROC and fellow participants were Victoria Pinnington, Senior Vice President of Market Regulation; Wendy Rudd, Senior Vice President of Member Regulation and Elsa Renzella, Vice President of Enforcement and Registration;

Your completion of this webcast will earn you **1.0 hours of IIROC Continuing Education Compliance Credits.**



This webcast will be available for viewing commencing on October 31st at 7:00 am Eastern time and on a 24/7 basis after that date.

Please note that there is no accompanying quiz for this webcast. Upon completion of the webcast, participants will be issued an electronic and personalized Continuing Education credit (1.0 CE credit) certificate which can be applied to their **Compliance Requirements**.

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