

# IIROC NOTICE

## **Membership Development Notice Change in Control**

*Please distribute internally to:*

Credit  
Legal and Compliance  
Senior Management  
Trading Desk

*Contact:*

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**17-0208**  
**November 2, 2017**

## **BBS Securities Inc.**

The Ontario District Council of the Investment Industry Regulatory Organization of Canada has approved the change in ownership of BBS Securities Inc. (BBS) whereby CI Financial Corp., the indirect holding company of Assante Capital Management Ltd., also an IIROC Dealer Member, will indirectly acquire all of the outstanding securities of BBS.

The effective date of the transaction is November 1, 2017.