

# IIROC NOTICE

## **Membership Development Notice Change of Control**

*Please distribute internally to:*

Credit  
Legal and Compliance  
Senior Management  
Trading Desk

*Contact:*

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**17-0091**  
**April 21, 2017**

## **Altus Securities Inc.**

The Ontario District Council of the Investment Industry Regulatory Organization of Canada (“IIROC”) has approved a change in control of Altus Securities Inc. whereby 9942955 Canada Inc. will acquire all of its outstanding securities. As a result, Charles Spiring and Todd Degelman (and their respective family trusts) will become indirect significant equity interest holders in Altus Securities Inc.

The effective date of the transaction is April 15 2017.