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New Webcast: Automated Advice and Suitability (Recorded at the 2016 IIROC Compliance Conference Toronto)

Automated Advice is a topic of great interest these days. This webcast covers:

- the regulatory aspects of automated advice
- the impact on product and account service offerings
- any pitfalls/concerns associated with the product offering

The presentation is moderated by Marsha Gerhart, Vice President Member Regulation Policy, IIROC and the panelists are:

- Richard Corner, Vice President and Chief Policy Advisor, Member Regulation, IIROC
- Christopher Jepson, Senior Legal Counsel, Compliance & Registrant Regulation, Ontario Securities Commission



- Mary Joyce Empensando, Chief Compliance Officer, Questrade, and,
- Keri Bush, Chief Compliance Officer & Managing Director, BMO Nesbitt Burns

This webcast will be available for viewing commencing on May 25, 2017 at 4:00 pm Eastern time and on a 24/7 basis after that date.

Please note that there is no accompanying quiz for this webcast. Upon completion of the webcast, participants will be issued an electronic and personalized Continuing Education credit (.75 CE credit) certificate which can be applied to their **Compliance Requirements**.

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