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New Webcast: IIROC's Regulatory Priorities

At the IIROC Compliance Conference held in September, 2017, in Toronto, IIROC's executive management team discussed the regulatory priorities the organization is pursuing. This webcast is a recording of that session and provides a candid and engaging review of the critical issues the Enforcement, Market Regulation, Member Regulation as well as the Registration department are currently addressing.

The panel discussion was moderated by Andrew J. Kriegler, President and CEO of IIROC and fellow participants were Elsa Renzella, Vice-President, Enforcement, Victoria Pinnington, Senior Vice-President, Market Regulation, Wendy Rudd, Senior Vice-President, Member Regulation and Strategic Initiatives and Doug Harris, Vice-President, General Counsel and Corporate Secretary.



Your completion of this webcast will earn you **1.0 hours of IIROC Continuing Education Compliance Credits.**

This webcast will be available for viewing commencing on November 27, 2017 at 7:00 am Eastern time and on a 24/7 basis after that date.

Please note that there is no accompanying quiz for this webcast. Upon completion of the webcast, participants will be issued an electronic and personalized Continuing Education credit (1.0 CE credit) certificate which can be applied to their **Compliance Requirements.**

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