

IIROC NOTICE

Rules Notice Notice of Withdrawal UMIR

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Withdrawal of Proposed Provisions Respecting the Execution and Reporting of Certain “Off-Marketplace” Trades

Summary

IIROC has withdrawn from consideration for approval by the applicable securities regulatory authorities proposed amendments set out in [IIROC Notice 12-0131 Provisions Respecting the Execution and Reporting of Certain “Off-Marketplace” Trades \(Proposed Off-Marketplace Provisions\)](#)¹. The Proposed Off-Marketplace Provisions were published for comment on April 13, 2012 and included proposed amendments relating to:

- a dark rules anti-avoidance provision
- reports for off-marketplace trades
- an off-marketplace exemption for trades that must be completed as private or non-public transactions and are reported to IIROC.

IIROC re-published the dark rules anti-avoidance provision for comment in [IIROC Notice 15-0023 Re-publication of Proposed Dark Rules Anti-Avoidance Provision](#) on January 29, 2015 and subsequently withdrew the dark rules anti-avoidance provision in [IIROC Notice 17-0146 Withdrawal of Dark Rules Anti-Avoidance Provision](#). We do not intend to move forward with the other changes included in the Proposed Off-Marketplace Provisions at this time and have therefore withdrawn them from consideration for approval as well.

Withdrawal

IIROC has informed the CSA of its withdrawal of the Proposed Off-Marketplace Provisions.

¹ IIROC Notice 12-0131– *Provisions Respecting the Execution and Reporting of Certain “Off-Marketplace” Trades* (April 13, 2012)