

IIROC NOTICE

Rules Notice
Technical Notice
Dealer Member Rules

Please distribute internally to:
Legal and Compliance
Retail
Operations
Senior Management
Trading Desk
Training

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17-0012
January 13, 2017

Guidance on Order Execution Only Services and Activities

Extension of Comment Period

On November 3, 2016, IIROC published for comment proposed guidance (**Guidance**) regarding order execution only services offered by Dealer Members for a 45-day comment period (see [Notice 16-0251](#)). On December 2, 2016 we extended the comment period to January 20, 2017 (see [Notice 16-0280](#)).

Industry participants have requested additional time to consider and comment on the Guidance. In response to this request, we are extending the comment period to **February 3, 2017**.

Comments should be submitted in the manner set out in Notice 16-0251. All comment letters received by us will be posted on our website at www.iiroc.ca.