

# IIROC NOTICE

## **Rules Notice Technical Notice**

Dealer Member Rules

*Please distribute internally to:*  
Legal and Compliance  
Registration  
Senior Management

*Contact:*

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**16-0050**  
**March 7, 2016**

## **IIROC White Paper**

### **The Public Policy Implications of Changes to Rules Regarding Proficiency Upgrade Requirements and Directed Commissions on the IIROC Platform**

#### **Extension of Comment Period**

IIROC issued the White Paper for comment on November 25, 2015 (see IIROC [Notice 15-0260](#)) for a 120-day comment period. The White Paper raises interesting and broad policy issues, and industry participants have requested additional time to consider and comment on these issues.

In response to this request, we are extending the comment period to April 29, 2016.

Comments should be submitted in the manner set out in the White Paper. All comment letters received by us will be posted on our website at the end of the comment period.