

IIROC NOTICE

Rules Notice Technical Notice

Dealer Member Rules

Please distribute internally to:

Legal and Compliance

Registration

Senior Management

Contact:

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16-0050

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IIROC White Paper

The Public Policy Implications of Changes to Rules Regarding Proficiency Upgrade Requirements and Directed Commissions on the IIROC Platform

Extension of Comment Period

IIROC issued the White Paper for comment on November 25, 2015 (see IIROC [Notice 15-0260](#)) for a 120-day comment period. The White Paper raises interesting and broad policy issues, and industry participants have requested additional time to consider and comment on these issues.

In response to this request, we are extending the comment period to April 29, 2016.

Comments should be submitted in the manner set out in the White Paper. All comment letters received by us will be posted on our website at the end of the comment period.