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Education Notice

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Tips for Traders Montreal – November 10, 2016

Keeping abreast of developments in today's marketplace is a challenge. New developments in products, trading technologies and the increasing globalization of our industry make for a landscape that is constantly changing. To help you understand the compliance issues associated with this environment, the Member Education Services Department of the Investment Industry Regulatory Organization of Canada (IIROC) is pleased to invite you to attend "Tips for Traders Montreal", which will be held on Thursday, November 10, 2016, from 4:30-6:00pm, at the Club Saint-James, Salle St-Denis, 2nd Floor, 1145, avenue union. The majority of the event will be presented in English.

IIROC's Claudyne Bienvenu, Vice President, Québec Region will be making opening remarks, and Mike Prior, Vice-President, Market Surveillance, Sonali GuptaBhaya, Director, Market Regulation Policy, and Michael Librizzi, Manager, Business Conduct Compliance will highlight the following topics:



- Expansion of TCC review scope
- Best execution
- Insider marking
- Marketplace thresholds
- Debt surveillance
- Order designations proposal

Your attendance will earn you **1.5 hours of IIROC Continuing Education Compliance Credits.**

For more information and to register for the Tips for Traders event click [here](#).

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