

# IIROC NOTICE

## **Rules Notice Technical**

Dealer Member Rules

*Please distribute internally to:*

Credit  
Institutional  
Internal Audit  
Legal and Compliance  
Operations  
Regulatory Accounting  
Senior Management  
Trading Desk

*Contact:*

Mindy Sequeira  
Senior Information Analyst, Member Regulation Policy  
416-943-6979  
[msequeira@iiroc.ca](mailto:msequeira@iiroc.ca)

**16-0255  
November 7, 2016**

## **List of Basel Accord Countries**

This [list](#) identifies countries that qualify under the definition of “Basel Accord countries” in the General Notes and Definitions to Form 1. This list is to be used by Dealer Members for the purposes of determining entities that qualify as “acceptable counterparties” and “acceptable institutions” as defined in the General Notes and Definitions to Form 1.

Acceptable counterparties and acceptable institutions are two of four counterparty classification categories, which are to be used by Dealer Members in applying margin and capital requirements on transactions with these counterparties. This list has been updated by adding Norway.

This list replaces the previous list provided in IIROC Notice [16-0167](#), issued on July 12, 2016 and is effective on November 10, 2016.