

NOTICE / NEWS RELEASE

For immediate release

Enforcement Notice Hearing 16-0019

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IN THE MATTER OF Paul Christopher Darrigo – Review Hearing

January 28, 2016 (Toronto, Ontario) – On March 10, 2015, Paul Christopher Darrigo filed a Request for a Hearing and Review with the Ontario Securities Commission seeking a review of the decision on the merits of an IIROC Hearing Panel dated October 16, 2014 and the Hearing Panel’s decision on sanctions dated January 19, 2015, in the matter of Paul Christopher Darrigo.

The Hearing and Review will be held on May 11, 2016 at 10:00 a.m. at the offices of the Ontario Securities Commission located at 20 Queen Street West, 17th Floor, Toronto, Ontario.

The decision of the IIROC Hearing Panel dated October 16, 2014 is available at:

http://www.iiroc.ca/Documents/2014/83d470ff-8c4b-40ab-a5e3-cb3ac853b230_en.pdf

The decision of the IIROC Hearing Panel dated January 19, 2015 is available at:

http://www.iiroc.ca/Documents/2015/ede994fa-d159-4e5f-9215-043d5b8bf61d_en.pdf

Further information about the review can be found at:

<http://www.osc.gov.on.ca/en/search.htm?qquery=Darrigo>.

Documents related to ongoing IIROC enforcement proceedings – including Reasons and Decisions of Hearing Panels – are posted on the IIROC website as they become available. Click [here](#) to search and access all IIROC enforcement documents.

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IIROC investigates possible misconduct by its member firms and/or individual registrants. It can bring disciplinary proceedings which may result in penalties including fines, suspensions, permanent bars, expulsion from membership, or termination of rights and privileges for individuals and firms.

All information about disciplinary proceedings relating to current and former member firms is available in the [Enforcement](#) section of the IIROC website. Background information regarding the qualifications and disciplinary history, if any, of advisors currently employed by IIROC-regulated firms is available free of charge through the [IIROC AdvisorReport](#) service. Information on how to make investment dealer, advisor or marketplace-related complaints is available by calling 1 877 442-4322.