

# IIROC NOTICE

## **Rules Notice Technical**

Dealer Member Rules

*Please distribute internally to:*

Legal and Compliance

Operations

Registration

Retail

Senior Management

*Contact:*

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**16-0118**

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## **Proposed IIROC Dealer Member Plain Language Rule Book – Minor technical revisions to previously published blacklined versions of the Plain Language Rule Book**

On March 10, 2016, IIROC re-published for comment the proposed IIROC Dealer Member Plain Language Rule Book (**proposed PLR Rule Book**) in IIROC Rules [Notice 16-0052](#) - *Re-Publication of Proposed IIROC Dealer Member Plain Language Rule Book (Notice 16-0052)*.

Appendix 2 to Notice 16-0052 contains a blackline of the proposed PLR Rule Book showing the revisions to the previously published tranches.

Due to technical blacklining issues, we have revised and replaced the blackline for the 4000, 5000 and 9000 series contained within Appendix 2. Please refer to the “*Author’s Note to Reading the Blackline*” on page 2 of Appendix 2 for additional details.