

IIROC NOTICE

Rules Notice Technical

Dealer Member Rules

Please distribute internally to:

Institutional
Internal Audit
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Operations

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12-0167
May 15, 2012

List of Acceptable Trade Matching Utilities

The List of Acceptable Trade Matching Utilities is for the purposes of IIROC Dealer Member Rule 800.49 relating to Broker to Broker Trade Matching. Pursuant to IIROC Dealer Member Rule 800.49, all non-exchange trades involving CDS eligible securities executed between two IIROC Dealer Members are to be reported to an Acceptable Trade Matching Utility. Attached is an updated List of Acceptable Trade Matching Utilities, reflecting the removal of Sigma X Canada, because this marketplace has ceased operations as of April 27, 2012.

This list supersedes the list included in IIROC Rules Notice 12-0118, issued on April 2, 2012.

List of Acceptable Trade Matching Utilities

[for the purposes of IIROC Dealer Member Rule 800.49 relating to Broker to Broker Trade Matching]

1. **Bloomberg Tradebook**, a routing and matching service operated by Bloomberg Tradebook Canada Company.
2. **CBID**, an electronic marketplace operated by Perimeter Market Inc.
3. **CDS Trade Matching Service**, a matching service operated by The Canadian Depository for Securities Limited.
4. **Chi-X Canada**, an electronic marketplace operated by Chi-X Canada ATS Limited.
5. **Instinet Canada Cross**, an electronic marketplace operated by Instinet Canada Cross Limited.
6. **Liquidnet Canada**, an electronic marketplace operated by Liquidnet Canada Inc.
7. **MATCH NOW**, an electronic marketplace operated by TriAct Canada Marketplace LP.
8. **Omega ATS**, an electronic marketplace operated by Omega Securities Inc.
9. **Revolution Trading System (RevT)**, an electronic marketplace operated by CanDeal.ca Inc.