

# IIROC NOTICE

## **Rules Notice Technical**

Dealer Member Rules

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**11-0068**

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## **List of Basel Accord Countries**

This list identifies countries that qualify under the definition of “Basel Accord Countries” in the General Notes and Definitions to Form 1 (the Joint Regulatory Financial Questionnaire and Report). This list is to be used by Dealer Members for the purposes of determining entities that qualify as “acceptable counterparties” and “acceptable institutions” as defined in the General Notes and Definitions to Form 1.

Acceptable counterparties and acceptable institutions are two of four counterparty classification categories, which are to be used by Dealer Members in applying margin and capital requirements on transactions with these counterparties. This list has been updated by adding Singapore.

[This list](#) replaces the previous list provided in IIROC Notice 10-0301, issued on November 19, 2010 and is effective on February 18, 2011.