

IIROC NOTICE

Rules Notice Technical

Dealer Member Rules

Please distribute internally to:
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List of Basle Accord Countries

This list identifies countries that qualify under the definition of "Basle Accord Countries" in the General Notes and Definitions to Form 1 (the Joint Regulatory Financial Questionnaire and Report). This list is to be used by Dealer Members for the purposes of determining entities that qualify as "acceptable counterparties" and "acceptable institutions" as defined in the General Notes and Definitions to Form 1.

Acceptable counterparties and acceptable institutions are two of four counterparty classification categories, which are to be used by Dealer Members in applying margin and capital requirements on transactions with these counterparties. This list has been updated by adding Spain.

This list replaces the previous list provided in Member Regulation Notice MR0469, issued on April 25, 2007 and is effective immediately.

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