

IIROC NOTICE

Rules Notice Guidance Note

Dealer Member Rules

Please distribute internally to:

Credit
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List of Acceptable Clearing Corporations and Acceptable Securities Locations (Depositories and Clearing Agencies)

Attached is a list of entities that meet the definitions of acceptable clearing corporations and acceptable securities locations (Depositories and Clearing Agencies section) in the General Notes and Definitions to the Joint Regulatory Financial Questionnaire and Report (Form 1). This list replaces the previous list, which was issued as part of Notice 09-0039, and is effective October 1, 2009.

The list has been updated by replacing Clearstream International S.A. (the holding company) with two of its operating units: Clearstream Banking Luxembourg (an International Central Securities Depository) and Clearstream Banking Frankfurt (a domestic Central Securities Depository). In addition, ASX Settlement and Transfer Corporation Pty Limited has been added to the list.



List of Acceptable Clearing Corporations and Acceptable Securities Locations (Depositories and Clearing Agencies)

[Updated as at October 1, 2009.]

Entity	Country
1. CDS Clearing and Depository Services Inc. (formerly The Canadian Depository for Securities Limited)	Canada
2. Canadian Derivatives Clearing Corporation	Canada
3. ICE Clear Canada, Inc. (formerly WCE Clearing Corporation)	Canada
4. Depository Trust Company	United States
5. Options Clearing Corporation	United States
6. National Securities Clearing Corporation	United States
7. Fixed Income Clearing Corporation	United States
8. Emerging Market Clearing Corporation	United States
9. Boston Stock Exchange Clearing Corporation	United States
10. Board of Trade Clearing Corporation	United States
11. Chicago Mercantile Exchange Clearing Corporation	United States
12. New York Commodity Exchange Clearing Corporation	United States
13. Euroclear UK & Ireland (formerly CRESTCo Limited)	United Kingdom
14. LCH.Clearnet SA	United Kingdom
15. Euroclear Belgium	Belgium
16. Euroclear France SA	France
17. Euroclear Netherlands	Netherlands
18. Clearstream Banking Luxembourg	Luxembourg
19. Clearstream Banking Frankfurt	Germany
20. IBERCLEAR	Spain
21. Monte Titoli	Italy
22. S.A. Caja de Valores (Stock Clearing Corporation)	Argentina



Entity	Country
23. SIX SIS [formerly SEGA (Swiss Securities Clearing Corporation)]	Switzerland
24. Hong Kong Securities Clearing Company	China (Hong Kong)
25. ASX Settlement and Transfer Corporation Pty Limited	Australia