

# IIROC NOTICE

**Rules Notice**  
**Guidance Note**  
Dealer Member Rules

*Please distribute internally to:*

Credit  
Institutional  
Internal Audit  
Legal and Compliance  
Operations  
Regulatory Accounting  
Retail  
Senior Management  
Trading Desk  
Training

*Contact:*

Answerd Ramcharan  
Specialist, Member Regulation Policy  
(416) 943-5850  
[aramcharan@iiroc.ca](mailto:aramcharan@iiroc.ca)

**09-0217**  
**July 23, 2009**

## **List of Entities Considered Suitable to Hold LBMA Gold and Silver Good Delivery Bars**

Attached is a list of entities considered suitable to hold London Bullion Market Association (LBMA) gold and silver good delivery bars for the purposes of the definition of acceptable securities locations in the General Notes and Definitions to Form 1. This is a new list and is one of the requirements in the definition of acceptable securities locations in the General Notes and Definitions to Form 1 for allowing both a Dealer Member's inventory and client positions in gold and silver bullion to be held at an entity without capital penalty to the Dealer Member. The list is effective on July 23, 2009.



## **List of Entities Considered Suitable to Hold LBMA Gold and Silver Good Delivery Bars**

[Updated as at July 9, 2009.]

1. The Bank of Nova Scotia – ScotiaMocatta (LBMA Market-Making Member)
2. Royal Bank of Canada Limited (LBMA Market-Making Member)
3. Canadian Imperial Bank of Commerce (LBMA Full Member)
4. Royal Canadian Mint (LBMA Associate Member)