

IIROC NOTICE

Enforcement Notice Decision

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Legal and Compliance

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09-0170
June 8, 2009

IN THE MATTER OF John Anastasious Collias – Discipline

SUMMARY

Following a disciplinary hearing held on February 24, 2009, in Vancouver, BC, a Hearing Panel of the Investment Industry Regulatory Organization of Canada (IIROC) dismissed the charge alleged by IIROC Staff against John Anastasious Collias that he failed to cooperate with an IIROC investigation.

Since April 2008, Mr. Collias has not been registered in any capacity with an IIROC-regulated firm.

The Hearing Panel's May 5, 2009 Decision was released on May 15, 2009. The Hearing Panel's Decision is available at <http://docs.iiroc.ca/DisplayDocument.aspx?DocumentID=3CF194E3741D4C2BBE661CFE2D097924&Language=en>.