

IIROC NOTICE

Rules Notice
Guidance Note
Dealer Member Rules

Please distribute internally to:

Credit
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Operations
Regulatory Accounting
Retail
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Trading Desk

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List of Securities Eligible for Reduced Margin (Supplement)

This notice should be read in conjunction with IIROC Notice 09-0240, which was published on August 18, 2009. Since the publication of this list, two issues have been identified that require clarification:

- Ishares Comex Gold Trust (IGT) was listed in the Schedule of Deletions, however it has Options Clearing Corporation (“OCC”) options traded against it, and should have properly been included in the section of the LSERM listing securities that qualified solely on this basis. Dealer Members are reminded that securities against which options issued by the “OCC” are traded are also eligible for reduced margin in accordance with Dealer Member Rule 100.12(a)(ii).
- Crescent Point Energy Trust (CPG.UN) was incorrectly identified as “CMP 1989 O&G Pt UN”. Crescent Point Energy Trust (CPG.UN) has subsequently restructured as Crescent Point Energy Corp. (CPG) and is eligible for the current LSERM, effective September 9, 2009.