

## **IIROC NOTICE**

## Rules Notice Request for Comments

**Dealer Member Rules** 

Please distribute internally to:

Legal and Compliance

Retail

Senior Management

Contact:
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Policy Counsel, Member Regulation Policy
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08-0092 September 17, 2008

## **Extension of Request for Comment - Financial Planning Rule**

IIROC is extending the comment period relating to the proposed financial planning rule published in the OSC Bulletin on August 8, 2008. The comment period was scheduled to expire on September 8, 2008. The comment period will now be extended to October 8, 2008.

Comments should be made in writing. One copy of each comment letter should be delivered on or before October 8, 2008, addressed to the attention of Brendan Hart, Policy Counsel, Member Regulation Policy, Investment Industry Regulatory Organization of Canada, Suite 1600, 121 King Street West, Toronto, Ontario, M5H 3T9 and one copy addressed to the attention of the Manager of Market Regulation, Ontario Securities Commission, 20 Queen Street West, 19th Floor, Box 55, Toronto, Ontario, M5H 3S8.

Those submitting comment letters should be aware that a copy of their comment letter will be made publicly available on the IIROC website (**www.iiroc.ca** under the heading "IIROC Rulebook - Dealer Member Rules - Policy Proposals and Comment Letters Received").

Questions may be referred to:

Brendan Hart Policy Counsel, Member Regulation Policy Investment Industry Regulatory Organization of Canada (416) 865-3047 bhart@iiroc.ca